



Capital TRUST Securities (Pvt) Ltd.
A Capital TRUST Group Company

Member of the Colombo Stock Exchange. Company Registration No. PV 12905
42, Sir Mohammed Macan Markar Mawatha, Colombo 03, Sri Lanka.
Telephone: +94 11 2174174 Facsimile: +94 11 2174173 E-mail: inquiries@capitaltrust.lk

CLIENT ACCOUNT OPENING FORM (FOR INDIVIDUALS)

PERSONAL DATA

Form fields for personal data including Title, Last Name, Names Denoted by Initials, Address, Telephone, Mobile, Fax, E-Mail, Daily Trade Confirmation, NIC/Passport No., and Nationality.

DECLARATION (For Individual Clients)

Dear Sir / Madam,

OPENING OF SECURITIES ACCOUNT WITH THE CENTRAL DEPOSITORY SYSTEM

I/ We, .....holder of National Identity Card(s) bearing number(s).....hereby request Capital TRUST Securities (Pvt) Ltd. to open a Securities Account with the Central Depository System and,

- (1) I/We hereby declare that all particulars and information given in this Declaration and the CDS Application Form for Opening of Securities Account are true and correct...
(2) I/We further declare that I/we have read and understood the regulations as amended from time to time issued by the Colombo Stock Exchange...
(3) I/We agree to pay any security deposit required by Capital TRUST Securities (Pvt) Ltd in relation to my/our account...
(4) I/We authorize you to sell such securities as may be required to clear my/our debt position with Capital TRUST Securities (Pvt) Ltd at absolute discretion...

- (5) I/We declare that all orders made by me/us over the telephone shall not be revoked or withdrawn by me/us, after the execution of the order.
- (6) I/We declare that the funds to be invested for the purchase of shares of securities through the securities Account to be opened with the CDS will not be funds derived from any money laundering activity or funds generated through financing of terrorism or any other illegal activity.
- (7) I/We state that the irrevocable authority granted hereby shall in no way effect or exempt me/us from any inability as stated herein towards the Capital TRUST Securities (Pvt) Ltd arising from or consequent upon any such default.
- (8) I/we agree to any delayed payments being subject to additional interest cost on the consideration and agree to it being debited to my/our account at a interest rate that will be decided by the Capital TRUST Securities (Pvt) Ltd from time to time, but not exceeding 0.1% per day.
- (9) I/We declare and agree that Capital TRUST Securities (Pvt) Ltd may at any time and its absolute discretion suspend or close my/our account provided a reason is given thereof in writing.
- (10) If any un-authorized purchases/sales have been made in my/our CDS account, I/we undertake to complain to the Compliance Officer of Capital TRUST Securities (Pvt) Ltd within fourteen (14) days in writing. I/We undertake that if no complaint is made within fourteen (14)days of such transaction undertake that no claim would be made against Capital TRUST Securities (Pvt) Ltd
- (11) I/We declare that in the event of a variation of any information given to the CDS or in this Client's Registration Form I/we shall inform in writing within fourteen (14) days of such variation.
- (12) RISK DISCLOSURE STATEMENT: "The prices of securities fluctuate, sometimes drastically. The price of a security may move up or down, and may even become valueless. It is likely that losses may be incurred rather than profits made as a result of buying and selling securities".

**In the event of opening a Securities Account with the Central Depository System through our company, we M/sCapital TRUST Securities (Pvt) Ltd will be subject to the following conditions**

- (1) We declare that in the event of any material change of the information related to the company which is important to you, we shall inform you in writing within fourteen (14) days of such change.
- (2) The company provides the following services to the clients to assist them to make accurate investment decisions i) Online Trading ii) Technical and Fundamental Analysis Research and advise iii) Online access to view statements
- (3) Investment decisions can be on a discretionary or non-discretionary basis. Discretionary accounts can only be operated with a written agreement between the client and the company.
- (4) The incentive scheme applicable for Investment Advisors of the company is based on the net brokerage income generated from the transactions.
- (5) No other fees except the net brokerage are applicable for transactions executed by the company.

I/We hereby declare that the above declaration and Risk Disclosure (clause no. 12) have been duly read and understood by me/us prior to signing this declaration. I/We hereby also confirm that the above Risk Disclosure (clause no. 12) statement was explained to me/us and I/we was/were invited to read and ask any question and take independent advice if I/we so wish.

**Applicant**      **Name:** .....      **Signature:** .....  
**Date:** .....      **NIC No.** .....

**Witness**      **1. Name:** .....      **Signature:** .....  
**NIC No.** .....  
  
**2. Name:** .....      **Signature:** .....  
**NIC No.** .....

**FOR OFFICE USE ONLY**

I hereby confirm that to the best of our knowledge and belief that the information given herein by the applicant/s true and correct.

I here by confirm that I have clearly explained the Risk Disclosure (clause no. 12) to the applicant/s and I invited the applicant/s to read the above Risk Disclosure (clause no. 12) statement, verify and take independent advice if the applicant/s so wishes.

**Investment Advisor**      **Name:** .....      **Signature:** .....  
**Date:** .....

**Approved By**      **Name:** .....      **Signature:** .....  
**Date:** .....

**DECLARATION**

Dear Sir / Madam,

**OPENING OF SECURITIES ACCOUNT WITH THE CENTRAL DEPOSITORY SYSTEM**

**I/ We hereby request you to open a Securities Account with the Central Depository System and,**

- (1) I/We hereby declare that all particulars and information given in this registration Form and the CDS Application form for Opening of Securities Account are true and correct and that I/we read and understood the Conditions of Sale stipulated by the Colombo Stock Exchange governing the share transactions, which I/we will be entering into with Capital TRUST Securities (Pvt) Ltd. I/We hereby agree to abide by the said conditions of sale.
- (2) I/We further declare that I/we have read and understood the regulations as amended from time to time and issued by the Colombo Stock Exchange to its member companies with regard to the default contracts pertaining to the securities transactions and in the event I/we fail to make payment for securities purchased on my behalf, after the due date of settlement, I/we hereby authorize Capital TRUST Securities (Pvt) Ltd. to recover the monies due to them fully or part from the sales proceeds arising from the sales of shares at its absolute discretion not only the securities purchased under the defaulted contract but also any other securities fully paid by me/us lying in my account with the CDS, in order to enable Capital TRUST Securities (Pvt) Ltd. To recover the monies due to them including interest and other charges.
- (3) I/We under take to pay any security deposit require by you in relation to my/our account including without limitation deposits for purchase contracts made/transacted by me/us and or on my behalf.
- (4) I/We authorize you to sell such securities as may be required to clear my/our position with you.
- (5) I/We declare that all orders made by me/us the telephone shall not be revoked or withdrawn by me/us, after the execution of the order and shall therefore be confirmed.
- (6) I/We declare that the funds to be invested for the purchase share of securities through the securities Account to be opened with the CDS will not be funds driven from any money laundering activity or funds generated through financing of terrorism or any other illegal activity.
- (7) I/We declare that in the event of a variation of any information given to the CDS or to you, in this declaration and other information submitted by us along with the application to open a CDS account and an account with you, we shall inform in writing within fourteen (14) days of such variation.
- (8) I/We state that the irrevocable authority granted hereby shall in no way effect or exempt me/us from any inability as stated herein towards the Capital TRUST Securities (Pvt) Ltd arising from or consequent upon any such default.
- (09) Any delayed payments will be subject to additional interest cost on the consideration and it will be debited to my/our account interest percentage will be decided by the Capital TRUST Securities (Pvt) Ltd, but not exceeding 0.1% per day.
- (10) I/We declare and agree that you may at any time and at your absolute discretion suspend or close my/our account provided a reason is given thereof.
- (11) If any Un-authorized purchases/sales have been made in our CDS account, we would immediately complain to the Compliance Officer of your Broker Firm in writing.
- (12) I/We undertake to give you notice in writing of any changes of particulars given overleaf.

**Applicant**      **Name:** .....      **Signature:** .....  
**Date:** .....      **NIC No.** .....

**Witness**

**1. Name:** .....      **Signature:** .....  
**NIC No.** .....

**2. Name:** .....      **Signature:** .....  
**NIC No.** .....

**FOR OFFICE USE ONLY**

I hereby confirm that to the best of my knowledge and belief that the information given herein by the applicant true and correct. I further confirm that the applicant is financially stable to maintain a CDS Account.

**Investment**      **Name:** .....      **Signature:** .....

**Advisor**      **Date:** .....

**Approved By**      **Name:** .....      **Signature:** .....

**Date:** .....

**KNOW YOUR CUSTOMER (KYC) PROFILE**  
(To be retained by the Participant)

1. ஒடிபிபன் கரண லேவன. (✓ யோடா ஈலகுகு கரணன.) வழங்கப்பட்ட ஆவணங்கள் என அடையாளமிடவும்) Documents Provided (Please mark placing a ✓)			
உவனே ஸாஓனோடுகியா ஈகுகா கருகிஓ ஈடனா. / உடங்கன் வாடிக்கையாளரின் விபரங்களுக்காக / For KYC	Main Holder	1 <sup>st</sup> Joint Holder	2 <sup>nd</sup> Joint Holder
ஓர்ணிக கடுகுகிபன / தேசிய அடையாள அட்டை / National Identity Card	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
கூவன் ஈலகுகு / கடவுச்சீட்டு / Passport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ஓய்ட்டு ஈலகுகு (ஓர்ணிக கடுகுகிபன/கூவன் ஈலகுகு டேகை நோகுகி வவ கககூடு கரணீ டீகூடுகி புகாயகன் டகக வே.) ஓரரகி அனுகிபபத்திரம் (தே.அ.அ/கடவுச்சீட்டு இல்லாததை உறுகி ஓய்வதற்கு சத்தியக் கூற்று இணைக்கப்பட வேண்டும்) Driving License (An Affidavit is required confirming the fact that both NIC/ Passport are not available.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>ஓடீஓஓ ஈனாஓ கிஓஓ ஈடனா / வகிவிடத்தை நிருபிப்பதற்காக / For Proof of Residency</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ஓர்ணிக கடுகுகிபன / தேசிய அடையாள அட்டை / National Identity Card	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ஈகுகு / குகிஓஓ காகிபன் புகாயக / வங்கி/கடன் அட்டை கூற்று / Bank/ Credit card Statement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
டூகவன விடன / தொலைபேசிப் பட்டியல் / Telephone Bill	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
விடலீ/ஓல விடன / மின்சார/நீர்ப்பட்டியல் / Electricity/Water Bill	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
லியாஓஓஓ விட கிவிஓஓ / பகிவு ஓய்யப்பட்ட குத்தகை உடன்படிக்கை / Registered Lease Agreement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
பூடேகி லேகை விஓன் ஈகுகுகன் வன லட குஓ ஈஓஓ ஈகுகிஓ / பிரதேச ஓயலாளரினால அத்தாஓஓப்படுத்தப்பட்ட கிராம ஓகையாளர் ஓான்றிதம் / Gramasevaka Certificate certified by the Divisional Secretary	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
வக ஈஓவகையெக ஈஓவனஓயன் வன விஓ. ஈனாஓ கிஓஓ ஓகிஓ குகன லேவன நோகுகி கஓ. டடல ஓகுகாய் வக டகிகாஓ விஓன் கிகுன் கரண லட லிஓ / நிருபிப்புக்காக ஏனைய ஆவணங்கள் இல்லாத தோட்ட வேலையாட்கள் தோட்ட மேற்பார்வையாளரால் வழங்கப்பட்ட கடிதம் / Letter issued by superintendent of a plantation estate in respect of estate workers who have no other documentary proof.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
வனன் லேவன (கரகுகுகர ஈடனன் கரணன.) / ஏதேனும் ஏனைய ஆவணம் (தயவு ஓய்யது குறிப்பிடவும்) / Any Other Document (Please Specify).....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**ஓஓ லேவன. ஓ.ஓ.ஓ. கிஓஓ விவக கிஓஓ ஓர்ஓஓ ஒடிபிபன் கிஓஓ டீக ஓஓ ஓஓ குகன் (3) குகுகன ஒடிபிபன் கல ஈகுகு. இந் ஆவணங்கள் CDS கணக்கு ஆரம்பித்தல் படிவத்தை ஓமர்ப்பிக்கின்ற திகதியிலிருந்து (3) மாதங்களுக்குள் பெறப்பட்டதாக இருக்க வேண்டும்.**

*These documents should be within (3) months as of the date of submission of the CDS Account opening form.*

2. ஓஓஓ லிபினயே (ஓஓஓயே) ஈஓவாவ : (✓ யோடா ஈலகுகு கரணன.) வகிவிட முகவரியின் தன்மை: (வளவு) (✓ என அடையாளமிடவும்) Status of Residency Address: (Premises) (Please mark placing a ✓)			
	Main Holder	1 <sup>st</sup> Joint Holder	2 <sup>nd</sup> Joint Holder
குகுகஓ குகி / உரிமையாளர் / Owner	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ஓஓயன் ஈஓக / பெற்றோருடன் / With parents	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ஈட/கலீ ஓடனஓ ஓக / குத்தகை/வாடகை / Lease / Rent	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
கிஓஓகுகுகஓ / குகுகுகஓ குகி / நண்பர்களின்/உறவினர்களின் / Friend's / Relative's	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ஓஓஓஓக / வகுகுகன் கல / உறவிடம்/தங்குமிடம் / Board / Lodging	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
கல ஓஓகுகுகஓ ஓடனஓ ஓக / உத்தியோகபூர்வமானது / Official	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
வனன் ஈஓவகை (கரகுகுகர ஈடனன் கரணன.) / ஏனைய இடங்கள் (தயவு ஓய்யது குறிப்பிடவும்) / Other places (Please specify): .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

3. ද්විත්ව පුරවැසිභාවය පිළිබඳ විස්තර. (✓ යොදා සලකුණු කරන්න.) இரட்டை-குடியரிமை விபரங்கள் (✓ என அடையாளமிடவும்) <b>Dual-citizenship Details</b> (Please mark placing a ✓)	<i>Main Holder</i>	<i>1<sup>st</sup> Joint Holder</i>	<i>2<sup>nd</sup> Joint Holder</i>
රට / நாடு / Country 1: ..... මමත් බලපත්‍ර අංකය / கடவுச்சீட்டு இல / Passport No.: .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
රට / நாடு / Country 2: ..... මමත් බලපත්‍ර අංකය / கடவுச்சீட்டு இல / Passport No.: .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
රට / நாடு / Country 3: ..... මමත් බලපත්‍ර අංකය / கடவுச்சீட்டு இல / Passport No.: .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

4. ඔබ, ඇප්.ජයේ විදේශ ගිණුම් බඳු අනුකූලතා පනත යටතේ ඇප්.ජයේ තැනැත්තෙක් ද? (✓ යොදා සලකුණු කරන්න.) நீங்கள் ஒரு அமெரிக்க வெளிநாட்டு கணக்கு வரி இணக்கச் சட்டத்துக்கு (FATCA)உட்படுகின்ற அமெரிக்க பிரஜையா? (✓ என அடையாளமிடவும்) <b>Are you a US person under the Foreign Account Tax Compliance Act (FATCA) of the US?</b> (Please mark placing a ✓)	<i>Main Holder</i>	<i>1<sup>st</sup> Joint Holder</i>	<i>2<sup>nd</sup> Joint Holder</i>
ඔව්. (පිළිගර ඔව් නම්, අයදුම් පත්‍රය සමඟ එක් පනතේ අදාළ ප්‍රකාශය ඉදිරිපත් කළ යුතුය.) ஆம் (ஆமெனின், விண்ணப்பபடிவத்துடன் FATCA பிரகடனத்தை சமர்ப்பிக்க வேண்டும்) Yes (If yes, FATCA declaration has to be submitted along with application form)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
නැත (මම/අපි ඇප්.ජයේ විදේශ ගිණුම් බඳු අනුකූලතා පනත යටතේ ඇප්.ජයේ තැනැත්තෙක් බවට පත්වෙන්නේ නම් එම කාරණය සහනාගිවනනාට වගාම දැනුම් දීමට මම/අපි පොරොන්දු වෙමි/වෙමු.) இல்லை (அமெரிக்க சட்டத்தின் கீழ் ஓர் அமெரிக்கப் பிரஜையாக மாறுகின்ற சந்தர்ப்பத்தில் நான்/நாம் உடனடியாக சொல்லப்பட்ட தகவல்களை பங்குபற்றுபவருக்கு அறிவிப்பதற்கு பொறுப்பேற்றுக் கொள்கின்றேன்/கொள்கின்றோம்) No (In the event if I/We become a US person under FATCA of US, I/ We do hereby undertake to inform the said fact to the Participant immediately)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

5. රක්ෂාව (✓ යොදා සලකුණු කරන්න.) தொழில் (✓ என அடையாளமிடவும்) <b>Employment</b> (Please mark placing a ✓)	<i>Main Holder</i>	<i>1<sup>st</sup> Joint Holder</i>	<i>2<sup>nd</sup> Joint Holder</i>
සේවා නියුක්ත / ,தொழில் செய்பவர் / Employed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ස්වයං රැකියා නියුක්ත / சுய தொழில் செய்பவர் / Self Employed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
වෘත්තිය/ව්‍යාපාරයේ ස්වභාවය / வாழ்க்கைத்தொழில்/வியாபாரத்தின் தன்மை / Occupation / Nature of Business			
ව්‍යාපාරයේ/සංවිධානයේ නම / வியாபாரத்தின் பெயர்/நிறுவனம் / Name of the Business / Organization			
කාර්යාලයේ ලිපිනය / அலுவலக முகவரி / Office Address			
දුරකථන අංකය / தொலைபேசி / Telephone			
ෆැක්ස් අංකය / தொலைநகல் / Fax			
විද්‍යුත් තැපෑල / மின்னஞ்சல் / E-mail			

<b>6. වර්ෂයකට අපේක්ෂිත ආයෝජන වටිනාකම (√ යොදා සලකුණු කරන්න.)</b> வருடத்து எதிர்பார்க்கப்படுகின்ற முதலீட்டின் பெறுமதி (✓ என அடையாளமிடவும்) <b>Expected Value of Investment per annum (Please mark placing a √)</b>	<b>Main Holder</b>	<b>1<sup>st</sup> Joint Holder</b>	<b>2<sup>nd</sup> Joint Holder</b>
රුපී. 100,000 ට අඩු / 100,000 ரூபாய்க்கு குறைவான தொகை / Less than Rs. 100,000 /	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
රුපී. 100,000 සිට රුපී. 500,000 දක්වා / 100,000 – 500,000 ரூபாய் / Rs 100,000 to Rs 500,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
රුපී. 500,000 සිට රුපී. 1,000,000 දක්වා / 500,000 – 1,000,000 ரூபாய் / Rs 500,000 to Rs 1,000,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
රුපී. 1,000,000 සිට රුපී. 2,000,000 දක්වා / 1,000,000 – 2,000,000 ரூபாய் / Rs 1,000,000 to Rs 2,000,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
රුපී. 2,000,000 සිට රුපී. 3,000,000 දක්වා / 2,000,000 – 3,000,000 ரூபாய் / Rs 2,000,000 to Rs 3,000,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
රුපී. 3,000,000 සිට රුපී. 4,000,000 දක්වා / 3,000,000 – 4,000,000 ரூபாய் / Rs 3,000,000 to Rs 4,000,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
රුපී. 4,000,000 සිට රුපී. 5,000,000 දක්වා / 4,000,000 – 5,000,000 ரூபாய் / Rs 4,000,000 to Rs 5,000,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
රුපී. 5,000,000 සිට රුපී. 10,000,000 දක්වා / 5,000,000 – 10,000,000 ரூபாய் / Rs 5,000,000 to Rs 10,000,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
රුපී. 10,000,000 ට වැඩි / 10,000,000 ரூபாய்க்கு மேல் / Over Rs 10,000,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

<b>7. අරමුදල් මූලාශ්‍ර (√ යොදා සලකුණු කරන්න.)</b> நீதியீட்டங்களுக்கான மூலம் (✓ என அடையாளமிடவும்) <b>Source of funds (Please mark placing a √)</b>	<b>Main Holder</b>	<b>1<sup>st</sup> Joint Holder</b>	<b>2<sup>nd</sup> Joint Holder</b>
වැටුප/ලාභ ආදායම / சம்பளம்/இலாப வருமானம் / Salary / Profit Income	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ආයෝජන ලත් මුදල්/ඉතිරි කිරීම්/ முதலீட்டுப் பெறுகைகள்/ சேமிப்புகள்/ Investment Proceeds / Savings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
විකුණුම් සහ ව්‍යාපාරික පිටවැටුම / விற்பனைகள் வியாபாரப் புள்ளி / Sales and Business Turnover	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
කොන්ත්‍රාත් ලත් මුදල් / ஒப்பந்தப் பெறுகைகள் / Contract Proceeds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
දේපල/වත්කම විකිණීම / ஆதனங்கள்/ சொத்துக்கள் விற்பனை / Sales of Property/Assets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
තෘත / අනුපමා දායකත්ව / Gifts	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
පරිත්‍යාග/ පුණ්‍ය හාට (දේශීය/විදේශීය) / நன்கொடைகள்/அறக்கட்டளைகள் (உள்நாட்டு/வெளிநாட்டு) / Donations / Charities (Local / Foreign)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
කොමිස් ආදායම / தரகு வருமானம் / Commission Income	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
පවුල් ප්‍රේමණ / குடும்ப பண அனுப்பீடுகள் / Family Remittance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
අපනයන ලත් මුදල් / ஏற்றுமதி மூலம் பெறுகைகள் / Export proceeds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
සාමාජිකත්ව දායකමුදල්. / அங்கத்துவப் பங்களிப்புகள் / Membership contribution	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
වෙනත් (කරුණාකාර සඳහන් කරන්න.) / ஏனையவை (தயவு செய்து குறிப்பிடவும்) / Others (Specify)			

<b>8. වෙනත් ආශ්‍රිත ව්‍යාපාර/වෘත්තීය කටයුතු / ஏதேனும் ஏனைய தொடர்புடைய வியாபாரங்கள்/ தொழில்சார் செயற்பாடுகள் / Any other connected Businesses/ Professional activities</b>

9. දේශපාලනයට සම්බන්ධ තැනැත්තන් (✓ යොදා සලකුණු කරන්න.) / அரசியல் ரீதியாக தொடர்புடைய நபர்கள் (PEPs) (✓ என அடையாளமிடவும்) / Politically Exposed Persons (PEPs) (Please mark placing a ✓)	Main Holder	1 <sup>st</sup> Joint Holder	2 <sup>nd</sup> Joint Holder
<p>ඔබ(ා) දේශීය වශයෙන් ප්‍රමුඛ පෙළේ මහජන කටයුත්තක් පවරා තිබෙන හෝ පවරා ඇති තැනැත්තන් වන්නේ ද? නිදසුනක් වශයෙන් ඊජයේ හෝ ආණ්ඩුවේ නායකයන්, ජ්‍යෙෂ්ඨ දේශපාලඥයන්, රාජ්‍ය, අධිකරණ, හෝ යුද සේවයේ ජ්‍යෙෂ්ඨ නිලධාරීන්, ඊජයට අයිති සංස්ථාවල ජ්‍යෙෂ්ඨ විධායක නිලධාරීන්, වැදගත් දේශපාලන පක්ෂයක නිලධාරීන් ද යන වග - පිලිතුර "ඔව්" නම්, කරුණාකර පැහැදිලි කරන්න</p> <p>நீங்கள் முக்கியத்துவம் வாய்ந்த பொதுத் தொழிற்பாடுகளோடு உள்நாட்டுரீதியாக பொறுப்பளிக்கப்பட்ட தனிப்பட்டவர்களா? உதாரணமாக நாட்டின் அல்லது அரசின் தலைவர்கள், சிரேஷ்ட அரசியல்வாதிகள், சிரேஷ்ட அரச, நீதித்துறை அல்லது இராணுவ அதிகாரிகள், அரச கூட்டுத்தாபனங்களின் சிரேஷ்ட நிறைவேற்றினர்கள், முக்கிய அரசியல் கட்சி அதிகாரிகள் ஆமெனின் விபரங்களைக் குறிப்பிடவும்</p> <p>Are you individuals who are or have been entrusted <b>domestically</b> by a with prominent public functions? For example, Heads of State or of government, senior politicians, senior government, judicial or military officials, senior executives of state owned corporations, important political party officials. If "Yes" please explain.</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>ඔබ(ා) විදේශීය රටකින් ප්‍රමුඛ පෙළේ මහජන කටයුත්තක් පවරා තිබෙන හෝ පවරා ඇති තැනැත්තන් වන්නේ ද? නිදසුනක් වශයෙන් ඊජයේ හෝ ආණ්ඩුවේ නායකයන්, ජ්‍යෙෂ්ඨ දේශපාලඥයන්, රාජ්‍ය, අධිකරණ, හෝ යුද සේවයේ ජ්‍යෙෂ්ඨ නිලධාරීන්, ඊජයට අයිති සංස්ථාවල ජ්‍යෙෂ්ඨ විධායක නිලධාරීන්, වැදගත් දේශපාලන පක්ෂයක නිලධාරීන් ද යන වග - පිලිතුර "ඔව්" නම්, කරුණාකර පැහැදිලි කරන්න</p> <p>நீங்கள் முக்கியத்துவம் வாய்ந்த பொதுத் தொழிற்பாடுகளோடு வெளிநாட்டுஎன்றினால் பொறுப்பளிக்கப்பட்ட தனிப்பட்டவர்களா? உதாரணமாக நாட்டின் அல்லது அரசின் தலைவர்கள், சிரேஷ்ட அரசியல்வாதிகள், சிரேஷ்ட அரச, நீதித்துறை அல்லது இராணுவ அதிகாரிகள், அரச கூட்டுத்தாபனங்களின் சிரேஷ்ட நிறைவேற்றினர்கள், முக்கிய அரசியல் கட்சி அதிகாரிகள் ஆமெனின் உறவுமுறையைக் குறிப்பிடவும்</p> <p>Are you individuals who are or have been entrusted with prominent public functions by a <b>foreign country</b>? For example, Heads of State or of government, senior politicians, senior government, judicial or military officials, senior executives of state owned corporations, important political party officials. If "Yes" please explain the relationship</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>ඔබ(ා) සාප්‍රවම (පෙළපත්තීන් හෝ) හෝ විවාහයෙන් හෝ ඊට සමාන (සිවිල්) හවුලකාරත්වයකින් හෝ දේශපාලනයට මැදිහත් තැනැත්තකු සමඟ සම්බන්ධ වන තැනැත්තන් වන්නේ ද? පිලිතුර "ඔව්" නම්, කරුණාකර පැහැදිලි කරන්න</p> <p>நீங்கள் நேரடியாக (இரத்த உறவு) அல்லது திருமணத்தினூடாக அல்லது அதேபோன்ற (குடியியல்) பங்குடமை வடிவங்களில் அரசியல் ரீதியாக தொடர்புடையவர்களுடன் நெருங்கிய உறவைக் கொண்டுள்ள தனிப்பட்டவர்களா? ஆமெனின் உறவு முறையைக் குறிப்பிடவும்.</p> <p>Are you individuals who are <b>related to a PEP</b> either directly (consanguinity) or through marriage or similar (civil) forms of partnership? If "Yes" please explain the relationship</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>ඔබ(ා) සමාජමය වශයෙන් හෝ වෘත්තීමය වශයෙන් දේශපාලනයට මැදිහත් තැනැත්තකු සමඟ සම්බන්ධතාවයකින් දක්වන වන තැනැත්තන් වන්නේ ද? පිලිතුර "ඔව්" නම්, කරුණාකර පැහැදිලි කරන්න</p> <p>நீங்கள் சமூக ரீதியாக அல்லது தொழிற்துறை ரீதியாக அரசியல் ரீதியாக தொடர்புடைய நபர்களுடன் நெருங்கிய உறவைக் கொண்டுள்ள தனிப்பட்டவர்களா? ஆமெனின் உறவு முறையைக் குறிப்பிடவும்</p> <p>Are you individuals who are closely <b>connected to a PEP, either socially or professionally</b>? If "Yes" please explain the relationship</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

10. අවදානම වර්ගීකරණය/இடர் வகைப்பிரிப்பு/Risk Categorization (කාර්යාලයීය ප්‍රයෝජනය සඳහා පමණි. / அலுவலகப் பாவனைக்கு மாத்திரம்/ Office use only)	Main Holder	1 <sup>st</sup> Joint Holder	2 <sup>nd</sup> Joint Holder
	L <input type="checkbox"/> M <input type="checkbox"/> H <input type="checkbox"/>	L <input type="checkbox"/> M <input type="checkbox"/> H <input type="checkbox"/>	L <input type="checkbox"/> M <input type="checkbox"/> H <input type="checkbox"/>

11. සුරැකුම්පත් ගිණුම සම්බන්ධයෙන් # සහභාගිකරුට උපදෙස් දීම සඳහා බලය ලත් පුද්ගලයා/පුද්ගලයින් (ගිණුම් හිමියාට අමතරව වෙනත් පුද්ගලයෙකු/පුද්ගලයින් ගිණුම සම්බන්ධයෙන් උපදෙස් ලබා දෙන්නේ නම් හෙතෙම බලය පැවරීමේ පත්‍රිකාව / අවධි බලපත්‍රය අමුණක්). / பங்குபற்றநருக்கு அறிவுறுத்தல்கள் வழங்குவதற்கு அங்கீகரிக்கப்பட்ட நபரின்/நபர்களின் பெயர்/பெயர்கள் (ஏற்புடையதாக இருந்தால் அட்டோணி தத்துவப்பத்திரம்/பதிலிப்பத்திரம் இணைக்கவும்)/ Name of the person(s) authorized to give instructions to the # Participant (Please Attach a duly certified copy of Power of Attorney – if applicable):

.....

# සහභාගිකරු යනු මවෙහි කොටස් තැරැව්කරු හෝ භාරකරු බැංකුව වේ. / பங்குபற்றுநர் என்பவர் உங்களது பங்குத்தரகர் அல்லது காப்பக வங்கி./ Participant means, your Stockbroker or Custodian Bank.

12. වෙනත් කරුණු /සටහන් (වෙනත්). / ஏனைய குறிப்புக்கள் (ஏதேனும் இருந்தால்) / Other remarks / notes (if any)

.....





14. OCCUPATION .....

15. CONTACT DETAILS

RESIDENCE																				
OFFICE																				
FAX																				
(a) MOBILE																				
<input type="checkbox"/> Please tick the box to receive SMS alerts regarding the transactions taking place through your CDS account. Please refer the CDS 27A Form for applicable Terms & Conditions. Monthly subscription of Rs.60/= will be charged.																				
(b) E-MAIL																				
Please place your signature to receive CDS account statements via email. If you require to receive CDS account statements pertaining to your CDS account in printed form, please submit a request in writing to the CDS after subscribing for the e-Statement.																				
																			..... Signature	

16. NAME OF PERSON(S) AUTHORIZED TO GIVE INSTRUCTIONS TO THE CDS (Please Attach a duly certified copy of Power of Attorney – if applicable):

.....  
පළමු හවුල් අයදුම්කරු / 1ஆம் இணைந்த கணக்கு வைத்திருப்பவர் / 1<sup>st</sup> Joint Holder  
(1 – 14 අක්ෂර ඉංග්‍රීසි කැපිටල් අකුරින් පිරවිය යුතුයි./ பெரிய ஆங்கில எழுத்துக்களில் பூரணப்படுத்துதல் வேண்டும்/ Should be filled in English capital letters)

1. TITLE: 

Mr.	Mrs.	Miss.	Rev.	Dr.	Other.....
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2. SURNAME: 


3. INITIALS: 

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4. NAMES DENOTED BY INITIALS: 


5. CORRESPONDENCE ADDRESS: 


6. DISTRICT: 

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7. POSTAL CODE: 

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

8. PERMENANT ADDRESS: 


9. DATE OF BIRTH (Date/Month/ Year) 

		/			/															
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10. NATIONAL IDENTITY CARD NO. / PASSPORT NO. : 

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Authorized  
Signature  
& stamp of  
participant

**ප්‍රකාශය සඳහා ආකෘතිය**  
**பிரகடனப்படுத்தல் படிவம்**  
**DECLARATION FORM**

වන මම

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of

(සම්පූර්ණ නම) (முழுப்பெயர்) (Full name) (ලිපිනය) (விலாசம்) (address)

දරන ලිපිනයේ සිට  
என்னும் முகவரியைச் சேர்ந்த

වන මම

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of

(සම්පූර්ණ නම) (முகவரி) (Full name) (ලිපිනය) (பெயர்) (address)

දරන ලිපිනයේ සිට  
என்னும் முகவரியைச் சேர்ந்த

වන මම

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of

(සම්පූර්ණ නම) (முகவரி) (Full name) (ලිපිනය) (பெயர்) (address)

දරන ලිපිනයේ සිට  
என்னும் முகவரியைச் சேர்ந்த

සෙනට්ල් ඩිපොසිටරි සිස්ටම්ස් (පුබ්ලික්) ලිමිටඩ් සමාගම (සිවිල්ස් සමාගම) සැලකිල්ලට ගෙන, සුරැකුමින් ගිණුමක් විවෘත කිරීමට එකඟ වෙමින් මෙසේ ප්‍රකාශ කර සිටිමු/සිටිමි.

ஆகிய நான்/நாம் மத்திய வைப்பக முறைமை தனியார் நிறுவனத்தில் (CDS) பிணைப்பொறுப்புக்கள் கணக்கொன்றை ஆரம்பிப்பதன் உடன்பாட்டைக் கரிசனை கொண்டு, பின்வருமாறு பிரகடனப்படுத்துகின்றேன்/பிரகடனப்படுத்துகின்றோம்.

in consideration of the Central Depository Systems (Pvt.) Ltd. (CDS) agreeing to open a Securities Account, hereby declare as follows:

1. (i) මා/අප විසින් සිවිල්ස් සමාගමේ විවෘත කිරීමට නියමිත සුරැකුමින් ගිණුම මාගේ/අපගේ ප්‍රතිලාභය සඳහා පමණක් පවත්වාගෙන යා යුතුය. என்னால்/எம்மால் மத்திய வைப்பக முறைமையில் ஆரம்பிக்கப்படவுள்ள பிணைப்பொறுப்புக்கள் கணக்கானது எனது/எமது நலனுக்காக மாத்திரம் பயன்படுத்தப்படும்.  
The Securities Account to be opened by me/us in the CDS shall be maintained for my/our benefit only.

**හෝ**  
(අද්ද්ලාහින්ගේ සංඛ්‍යාව තැනැත්තන් තිදෙනෙකු ඉක්ම නොවන විටක දී, කරුණාකර පහත 1(ii) වගන්තිය සම්පූර්ණ කරන්න.)  
அல்லது  
(நலன் பெறுபவர்கள் மூன்று ஆட்களுக்கு மேற்படாத சந்தர்ப்பத்தில் கீழே 1(ii) நிர்லக்களைப் பூர்த்தி செய்யவும்)

**Or**

(In the event the number of beneficiaries does not exceed three persons, please complete clause 1(ii) below.)

- (ii) මා/අප විසින් සිවිල්ස් සමාගම තුළ විවෘත කිරීමට නියමිත සුරැකුමින් ගිණුම මාගේ/අපගේ පැවරුම්ලද්දාගේ/භාරකාරයාගේ/ කළමනාකාරවරයාගේ තත්වයෙන් මෙහි පහත සඳහන් තැනැත්තනගේ ප්‍රතිලාභය සඳහා පවත්වාගෙන යා යුතුය. என்னால்/எம்மால் மத்திய வைப்பக முறைமையில் ஆரம்பிக்கப்படவுள்ள பிணைப்பொறுப்புக்கள் கணக்கானது எனது/எமது நம்பிக்கையாளர் /பாதுகாவலர் / முகாமையாளர் என்றவகையில் பின்வரும் ஆட்களுக்காக பராமரிக்கப்படும்.  
The Securities Account to be opened by us in the CDS shall be maintained for the benefit of the following persons in my/our capacity as Trustee / Custodian / Manager.

නම / பெயர் / Name	ලිපිනය / முகவரி / Address	පුරවැසිභාවය / தேசிய இனம் / Nationality
1.		
2.		
3.		

**හෝ / அல்லது / Or;**

(අද්ද්ලාහින්ගේ සංඛ්‍යාව තැනැත්තන් තිදෙනෙකු ඉක්ම වන විටක දී, පහත 1(iii) වගන්තිය සම්පූර්ණ කරන්න.)  
(நலன் பெறுபவர்கள் மூன்று நபர்களுக்கு மேற்பட்ட சந்தர்ப்பத்தில் கீழே 1(iii) பந்தியைப் பயன்படுத்தவும்)  
(In the event there are more than three beneficiaries, please complete clause 1(iii) below.)

- (iii) මම/අපි, මාගේ/අපගේ විශ්වාසියාගේ/භාරකාරයාගේ/කළමනාකාරවරයාගේ තත්වයෙන් ගිණුමේ අවසානාන්තක අද්ද්ලාහින් සම්බන්ධයෙන් නම්, ලිපිනයන් සහ පුරවැසිභාවය පිළිබඳව සියළුම තොරතුරු පවත්වාගෙන යා යුතු වන අතර, අද්ද්ලාහින් සම්බන්ධ පවැති තොරතුරු සිවිල්ස් සමාගම සහ සහභාගිවන්නා විසින් නියම කරනු ලැබුවහොත්, ඔහු/මම විටක දී සිවිල්ස් සමාගම සහ # සහභාගිවන්නා වෙත වගාම මුදා හැරීමට පොරොන්දු විය යුතු වෙමි/වෙමු.

நான் /நாம் நம்பிக்கையாளர் / பாதுகாவலர் / முகாமையாளர் என்ற அடிப்படையில் கணக்கின் இறுதி உண்மையான பயனாளிகள் தொடர்பான பெயர்கள், முகவரிகள் மற்றும் தேசிய இனங்கள் போன்ற சகல தகவல்களைப் பராமரிப்போம் என்பதோடு மத்திய வைப்பக முறைமை மற்றும் பங்குபற்றுபவர் வேண்டிக்கொண்டால், எந்த சந்தர்ப்பத்திலும் நலன் பெறுபவர்கள் தொடர்பான அத்தகைய தகவல்களை மத்திய வைப்பக முறைமைக்கும் மற்றும் பங்குபற்றுபவருக்கும் உடனடியாக வழங்குவதற்கும் பொறுப்பேற்றுக் கொள்கின்றேன் /பொறுப்பேற்றுக் கொள்கின்றோம்.

I/We, in our capacity as Trustee / Custodian / Manager shall maintain all information such as names, addresses and nationalities pertaining to the ultimate beneficiaries of the account and undertake to promptly release to the CDS & the Participant such information pertaining to the beneficiaries, at any time, if required by the CDS & the # Participant.

2. අයුදම් පත්‍රය සහ ඊට අමුණා ඇති ලේඛනවල සත්‍ය සහ නිවැරදි තොරතුරු අඩංගු වන අතර, සැලැ තොරතුරු වසන් කිරීමට හෝ වරදවා දැක්වීමට එකී තොරතුරු යම් වෙනස් කිරීමක්, සුළු වෙනස් කිරීමක් හෝ සදෝස්ථ දැක්වීමක් සිදු කර නොමැත. இதற்கு இணைக்கப்பட்டுள்ள விண்ணப்பம் மற்றும் ஆவணங்கள் என்பவற்றின் உள்ளடக்கங்கள் உண்மையானவையும் சரியானவையுமாகும் என்பதோடு அதில் உண்மையான தகவல்களை மறைப்பதற்காக அல்லது கவனத்தை திசை திருப்புவதற்காக தகவல்களை திருத்ததல், சேர்த்தல் அல்லது மோசடியான தகவல்களை மேற்கொள்ளல் என்பவை மேற்கொள்ளப்படவில்லை.

The application and the documents attached thereto bear true and correct information and no alteration, modification or falsification was carried out to them to hide or deflect true facts.

3. සිවිල්ස් ගිණුමක් විවෘත කිරීම පිණිස මෙම සිවිල්ස් 1 වන ආකෘතියේ දී සහ මෙම ප්‍රකාශනයේ දී ලබා දී ඇති යම් කවර ම තොරතුරු සහ අයුදම් පත්‍රය සමඟ මා/අප විසින් ඉදිරිපත් කරන ලද වෙනත් තොරතුරුවල යම් වෙනසක් සිදු වුවහොත්, එම වෙනස දින දහහතරක් (14) ඇතුළත සිවිල්ස් සමාගමට සහ # සහභාගිවන්නා දැනුම් දීමට මම/අපි පොරොන්දු වෙමි/වෙමු.

மத்திய வைப்பக முறைய படிவம் 1 இல், இந்தப்பிரகடனத்தில் மற்றும் மத்திய வைப்பக முறைமைக் கணக்கொன்றை ஆரம்பிப்பதற்கு இந்த விண்ணப்பத்தோடு என்னால்/எம்மால் சமர்ப்பிக்கப்பட்ட ஏனைய ஆவணங்களில் வழங்கப்பட்ட தகவல்களில் ஏதேனும் மாற்றம் இருக்கின்ற சந்தர்ப்பத்தில், அத்தகைய மாற்றம் ஏற்படுத்தப்பட்ட 14 நாட்களுக்குள் எழுத்து மூலம் மத்திய வைப்பகத்துக்கு மற்றும் பங்குபற்றுபவருக்கு நான்/நாம் அறிவிப்பதற்கு பொறுப்பேற்றுக் கொள்கின்றேன்/பொறுப்பேற்றுக் கொள்கின்றோம்.

In the event of a variation of any information given in the CDS Form 1, this Declaration and other information submitted by me/us along with the application to open a CDS Account, I/we undertake to inform the CDS & the # Participant in writing within fourteen (14) days of such variation.

4. **සිවිල් සමාගමේ විවෘත කිරීමට නියමිත සුදුසුකම්පත් ගිණුම හරහා සුදුසුකම්පත් මිලදී ගැනීම පිණිස ආයෝජනය කළ යුතු අරමුදල් වනාහී යම් කවර මුදල් විදේශීකරණයකින් ජනයා වූ අරමුදල් හෝ ඉස්තොදාදී හෝ යම් වෙනත් නීති විරෝධී ආකාරයෙන් මුද්‍රා සමපාදනය හරහා උත්පාදනය කරගනු ලැබූ අරමුදල් නොවිය යුතුය.**

மத்திய வைப்பக முறைமையில் திறக்கப்படவுள்ள பிணைப்பொறுப்புக்கள் கணக்கினூடாக பிணைப்பொறுப்புக்கள் கொள்வனவுக்காக முதலிடப்படவுள்ள நிதிகளானவை ஏதேனும் கருப்புப் பண செயற்பாட்டிலிருந்து பெற்றுக் கொள்ளப்பட்ட

நிதியிலிருந்து அல்லது பயங்கரவாத அல்லது ஏதேனும் ஏனைய சட்டத்துக்கு முரணான செயற்பாட்டிலிருந்து பிறப்பிக்கப்பட்ட நிதிகளாக இருக்கமாட்டாது. The funds to be invested for the purchase of securities through the Securities Account to be opened with the CDS will not be Funds derived from any money laundering activity or funds generated through the financing of terrorist or any other illegal Activity.

5. **මා/අප. මෙහි පහත සඳහන් වරදවල් සම්බන්ධයෙන් ශ්‍රී ලංකාව තුළ දී හෝ වෙනත් රටක දී යම් කවර ම වූ අපරාධ/සිවිල් විනිශ්චයාධිකාරයකින් හෝ පරිපාලනමය බලධරයකු විසින් තහනමට ලක්කිරීම සහ/හෝ ප්‍රතික්ෂේප කිරීම සහ/හෝ අත්හිටුවීම සිදු කර නොමැත.**

நான்/நாம், பின்வரும் தவறுகள் தொடர்பில் இலங்கையில் அல்லது ஏதேனும் வேறு நாட்டில் ஏதேனும் குற்றவியல்/குடியியல் நியாய சபையால் அல்லது நிருவாக அதிகாரசபையால் தடை செய்யப்பட்டு மற்றும்/அல்லது நிராகரிக்கப்பட்டு மற்றும்/அல்லது இடைநிறுத்தப்பட்டிருக்கவில்லை; I/We have not been banned and/or rejected and /or suspended by any criminal/civil tribunal or administrative authority in Sri Lanka or in any other country in connection with the following offences:

- 2006 අංක 06 දරන මුද්‍රා ගනුදෙනු වාදනා කිරීමේ පනත මගින් අද්විතීර්ණය කර ඇති පරිදි, යම් කවර ම වූ නීති විරෝධී ක්‍රියාවකින් හෝ යම් කවර ම වූ නීති විරෝධී ක්‍රියාවකින් ලත් මුදල්වලින් සාද්‍රවම හෝ වනුව ජනයා කරගන්නා හෝ උපලබ්ධි කරගන්නා යම් කවර ම වූ දේපලක් සම්බන්ධයෙන් වන යම් කවර ම වූ ගනුදෙනුවක සාද්‍රවම හෝ වනුව නිරත වීම.

2006 ஆம் ஆண்டின் 06ஆம் இலக்க நிதியியல் பரிமாற்றல்கள் அறிக்கையில் சட்டத்தினால் விபரிக்கப்பட்டவற்று ஏதேனும் சட்டத்துக்கு முரணான செயலிலிருந்து அல்லது ஏதேனும் சட்டத்துக்கு முரணான செயற்பாட்டின் பெறுகைகளிலிருந்து அல்லது பணமாக மாற்றப்பட்டதிலிருந்து நேரடியாக அல்லது மறைமுகமாக பெற்றுக் கொள்ளப்படுகின்ற ஏதேனும் ஆதனம் தொடர்பிலான பரிமாற்றலில் நேரடியாக அல்லது மறைமுகமான ஈடுபடுதல்;

Engaging directly or indirectly in any transaction in relation to any property which is derived or realized directly or indirectly, from any unlawful activity or from the proceeds of any unlawful activity as defined by the Financial Transactions Reporting Act No. 6 of 2006;

- ඉහත සඳහන් කළ පරිදි යම් කවර ම වූ නීති විරෝධී ක්‍රියාවකින් හෝ යම් කවර ම වූ නීති විරෝධී ක්‍රියාවකින් ලත් මුදල්වලින් සාද්‍රවම හෝ වනුව ජනයා කරගන්නා හෝ උපලබ්ධි කරගන්නා යම් දේපලක් ලබාගැනීම, සන්නයේ තබාගැනීම, සැලවීම, බැහැර කිරීම, ශ්‍රී ලංකාව තුළට ගෙන ඒම සහ වෙනත් රටකට ගෙන යාම හෝ ශ්‍රී ලංකාව තුළ හෝ වෙනත් රටක ආයෝජනය කිරීම, හෝ

மேலே குறிப்பிட்டு செய்யப்பட்டவற்று ஏதேனும் சட்டத்துக்கு முரணான செயலிலிருந்து அல்லது ஏதேனும் சட்டத்துக்கு முரணான செயற்பாட்டின் பெறுகைகளிலிருந்து நேரடியாக அல்லது மறைமுகமாக பெற்றுக் கொள்ளப்படுகின்ற ஏதேனும் ஆதனத்தை பெறுதல், செயல்முறைப்படுத்தல், மறைத்தல், விற்றுத் தீர்த்தல் அல்லது அதனை இலங்கைக்குள் அல்லது ஏதேனும் நாட்டுக்குள் கொண்டு வர்தல் அல்லது இலங்கையில் அல்லது ஏதேனும் வேறு நாட்டில் முதலீடுவதற்காக கொண்டு வர்தல்;

Receiving, possessing, concealing, disposing, of or bringing into Sri Lanka or into any other country, or for investing in Sri Lanka or in any other country, any property which is derived or realized, directly or indirectly, from any unlawful activity or from the proceeds of any unlawful activity referred to above; or

- 2006 අංක 05 දරණ මුදල් විදේශීකරණය වැළැක්වීමේ පනත යටතේ සහ එහි පනතට සිදු කරන යම් සංශෝධනයක් හෝ ලෝකයේ වෙනත් යම් කවර ම වූ රටක ව්‍යවස්ථාවක ඊට සමාන නීතියක් යටතේ වරදක් වශයෙන් අද්විතීර්ණය කරනු ලැබ ඇති යම් වෙනත් වරදක් සිදු කිරීම.

2006ஆம் ஆண்டின் 5ஆம் இலக்க கருப்புப் பணத்தைத் தடுத்தல் சட்டம் மற்றும் அந்தச் சட்டத்துக்கான ஏதேனும் திருத்தம் அல்லது உலகின் ஏதேனும் ஏனைய பகுதியில் உள்ள அதற்குச் சமமான சட்டவாக்கம் என்பவற்றின் கீழ் தவறொன்றாக விபரிக்கப்பட்டிருக்கின்ற ஏதேனும் ஏனைய தவறு.

Any other offence which has been defined as an offence under the Prevention of Money Laundering Act No.5 of 2006 and any amendment thereto or any similar legislation in any other part of the world.

6. **මා/අප වනාහී ඉහත සඳහන් කළ වරද සම්බන්ධයෙන් ශ්‍රී ලංකාව තුළ හෝ යම් වෙනත් රටක දී අපරාධ වරදකට වරදකරු කර ඇති බවට වාදනාවක් නොමැති යහපත් තැනැත්තන් වන බවට මම/අපි මෙයින් තවදුරටත් ප්‍රකාශ කර සිටිමි/සිටිමු.**

நான்/நாம், மேலே குறிப்பிடப்பட்டவற்று தவறுகள் தொடர்பில் இலங்கையில் அல்லது ஏதேனும் வேறு நாட்டில் குற்றவியல் தீர்ப்பளிக்கும்படாத சிறந்த நடத்தைமுடைய நிலையில் இருக்கின்றேன்/இருக்கின்றோம் என இத்தால் நான்/நாம் பிரகடனப்படுத்துகின்றேன்/பிரகடனப்படுத்துகின்றோம்.

I/We hereby further declare that I/We am/are person(s) of good standing with no record of criminal convictions in relation to the offences stated above, in Sri Lanka or in any other country.

7. **මෙහි පහත සඳහන් නඩු කටයුතු හැර (විභාග වෙමින් පවතින යම් කවර ම වූ නඩු කටයුතු පිළිබඳ විස්තර සඳහන් කරන්න.) ශ්‍රී ලංකාව තුළ හෝ ලෝකයේ වෙනත් යම් කවර ම වූ රටක දී මා/අප වරදකරු කර දඬුවම් පැනවීම හෝ මා/අපට එරෙහිව සහ/හෝ මා/අපට සම්බන්ධිත යම් කවර ම වූ තැනැත්තකු/තැනැත්තන් සහ/හෝ මා/අපට සම්බන්ධිත යම් කවර ම වූ අයින්වියකට (හවුල්කරුවකට, කොටස්ගිණියකට, අධ්‍යක්ෂකවරයෙකට) එරෙහිව විභාග වන අපරාධ නඩු කටයුතු නොමැත.**

நான்/நாம், அல்லது என்னோடு/எம்மோடு தொடர்புடைய நபர்/நபர்கள் மற்றும்/அல்லது என்னோடு/எம்மோடு இணைந்துள்ள ( ஒரு பங்களாளராக, பங்குடமையாளராக, பணிப்பாளராக) ஏதேனும் நிறுவனம் எனக்கு/எமக்கு அல்லது மேலே சொல்லப்பட்டவற்று இணைந்த மற்றும் /அல்லது தொடர்புடையவர்களுக்கு எதிராக பின்வரும் விடயங்கள் தவிர, இலங்கையில் அல்லது உலகின் வேறு எந்தப்பகுதியிலும் மேலே குறிப்பிடப்பட்டவற்று எவையேனும் குற்றத் தீர்ப்புக்கள் / இன்னும் தீர்ப்பு வழங்கப்படாத குற்றவியல் நடவடிக்கைமுறை இல்லை.

I/We or any persons(s) associated with me/us and/or any entity connected to me/us (as a partner, shareholder, director) do not have against me/us or persons connected and/or associated as aforesaid any convictions/pending criminal proceeding in Sri Lanka or in any other part of the world except the following (give detailed description of any pending litigation);

- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_

8. **සිවිල් සමාගමේ ගිණුමක් විවෘත කිරීමට ඉදිරිපත් කරන ලද මාගේ/අපගේ අයුද්මිත සහ වෙනත් අදාළ ලිපිලේඛන ප්‍රතික්ෂේප වී නොමැති බවට හෝ සිවිල් සමාගමේ යම් වෙනත් # සහභාගිවන්නකු විසින් යම් කවර ම වූ ව්‍යවස්ථාපිත සම්බන්ධතාවයක් මීට පෙර ප්‍රතික්ෂේප කර නොමැති බවට, මම/අපි මෙයින් තවදුරටත් ප්‍රකාශ කර සිටිමි/සිටිමු.**



**මධ්‍යම තැන්පතු ක්‍රමය**

සෞන්දර්යාලීය අධ්‍යාපන සේවාව (පිහිටුවීමේ) ලිපිනය  
**අංක 04-01, බටහිර කොටස, ලෝක වෙළඳ මධ්‍යස්ථානය, එළිමහල, කොළඹ - 01**  
 ශ්‍රී 04-01 ශ්‍රී ජනරජයේ නීති, උපදේශන, නීති, සේවාවන්, සේවාවන්, සේවාවන් 01

මධ්‍යම තැන්පතු ක්‍රමයේ සුරැකීමේ වගකීම විවිධ කාර්ය සඳහා වූ අයදුම්පත්‍රය සම්පූර්ණ කිරීමේ උපදෙස් මාලාව (වැඩිදුර තොරතුරු සඳහා මධ්‍යම තැන්පතු ක්‍රමයේ මාර්ග නිර්දේශය අනුගමනය කරන්න.)

සඳහා කෙටි කාලීනව ඉහත පිටපතක් ලබාදීමට සූදානම්ව සිටින අයට පමණක් අදාළ වේ

**(අ) ප්‍රධාන අයදුම්කරුවන්ගේ උපදෙස්**

01. අයදුම්කරුගේ තත්වය සඳහන් කරන්න. 1. මයා 2. මිය 3. මෙහෙවිය 4. වෛද්‍ය 5. වෙනත්
02. අයදුම්කරුගේ අවසාන නම සඳහන් කළ යුතුය.
03. නමෙහි මුලකුරු සඳහන් කළ යුතුය. (අංක 01. සඳහන් කළ අවසාන නමෙහි මුලකුරු සඳහන් නොකරන්න.)
04. මුලකුරුවලින් සඳහන් වන නම් මෙහි ලියන්න.
05. ලිපි එවිය යුතු ලිපිනය මෙහි ලියන්න.
06. වර්තමානයේ ඔබ පදිංචි ස්ථානය අයත් දිස්ත්‍රික්කය සඳහන් කරන්න.
07. ලිපි එවිය යුතු ලිපිනයට අදාළ තැපෑලේ සංඛේතය සඳහන් කරන්න.
08. අයදුම්කරුගේ ස්ථිර ලිපිනය සඳහන් කළ යුතුය.
09. බැංකුවේ නම, බැංකු ගාබට් නම, බැංකු ගාබට් ලිපිනය සහ බැංකු ගිණුමේ අංකය අතුළත් කරමින් ලාභාංශ ගෙවීමේ උපදෙස් මෙහි සඳහන් කරන්න.
10. උප්පැන සහතිකයේ සඳහන් පරිදි උපන් දිනය සඳහන් කරන්න.
11. අයදුම්කරුගේ පුරවැසිභාවය මෙහි සඳහන් කරන්න.
12. ජාතික හැඳුනුම්පත් අංකය හෝ විදේශ ගමන් බලපත්‍රයේ අංකය මෙහි සඳහන් කරන්න. (මේ පිළිබඳව වැඩිදුර තොරතුරු අවශ්‍ය නම් මධ්‍යම තැන්පතු ක්‍රමයේ මාර්ග නිර්දේශය අනුගමනය කරන්න.)
13. නේවාසික අයදුම්කරුවෙකුද නැත්නම් අනේවාසික අයදුම්කරුවෙකුද යන්න මෙහි සඳහන් කරන්න.
14. අයදුම්කරුගේ රැකියාව මෙහි සඳහන් කළ යුතුය. මෙම කොටස හිස්ව නොතබා අනිවාර්යවෙන් පිරවිය යුතුය.
15. සුරැකීමේ වගකීම සම්බන්ධයෙන් උපදෙස් දීම සඳහා බලය ලත් පුද්ගලයා/පුද්ගලයින් පිළිබඳ විස්තර මෙහි සඳහන් කරන්න. ගිණුම් හිමියාට අමතරව වෙනත් පුද්ගලයෙකු / පුද්ගලයින් ගිණුම සම්බන්ධයෙන් උපදෙස් ලබා දෙන්නේ නම් හෙතෙම බලය පැවරීමේ පත්‍රිකාව / ඇට්ටර්නි බලපත්‍රය අමුණන්න.
16. සහ 17. SIA (සුරැකීමේ අංශයේ නිලධාරීන්) ගිණුමේ විස්තර මෙහි සඳහන් කරන්න. මෙහිදී බැංකුවේ නම, ගාබට් සහ ගිණුමේ අංකය දැක්විය යුතුවේ. මෙම තොරතුරු ලබාදිය යුත්තේ අනේවාසික අයදුම්කරුවන් පමණි.

**(ඉ) පිරිනැමීමේ අයදුම්කරුවන්ගේ උපදෙස්**

01. තලයට පිටපත් කළ යුතුය. 01. නිල 02. නිලධාරී 03. සේවාව 04. වගකීම 05. කලාකෘති 06. පොදු ජනතාගේ වෙහෙර. ඉතුරු කළුපත් සඳහා සහතිකයක් සහිතව පිටපත් කළ යුතුය.
02. විண்ணිපතාරීන්ගේ කළමනාකරුවන්ගේ පිටපත් කළ යුතුය.
03. විண்ணිපතාරීන්ගේ පුද්ගලයන්ගේ පිටපත් කළ යුතුය. (මෙහි 01 මුල් පිටපත් කළ යුතුය. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.)
04. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.
05. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.
06. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.
07. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.
08. විண்ணිපතාරීන්ගේ පුද්ගලයන්ගේ පිටපත් කළ යුතුය.
09. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.
10. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.
11. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.
12. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.
13. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.
14. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.
15. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.
16. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.
17. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.

**(ආ) පුද්ගලයන්ගේ පුද්ගලයන්ගේ උපදෙස්**

01. අයදුම්කරුගේ තත්වය සඳහන් කරන්න. 1. මයා 2. මිය 3. මෙහෙවිය 4. වෛද්‍ය 5. වෙනත්
02. අයදුම්කරුගේ අවසාන නම සඳහන් කළ යුතුය.
03. නමෙහි මුලකුරු සඳහන් කළ යුතුය. (අංක 01. සඳහන් කළ අවසාන නමෙහි මුලකුරු සඳහන් නොකරන්න.)
04. මුලකුරුවලින් සඳහන් වන නම් මෙහි ලියන්න.
05. ලිපි එවිය යුතු ලිපිනය මෙහි ලියන්න.
06. උප්පැන සහතිකයේ සඳහන් පරිදි උපන් දිනය සඳහන් කරන්න.
07. අයදුම්කරුගේ පුරවැසිභාවය මෙහි සඳහන් කරන්න.
08. ජාතික හැඳුනුම්පත් අංකය හෝ විදේශ ගමන් බලපත්‍රයේ අංකය මෙහි සඳහන් කරන්න. (මේ පිළිබඳව වැඩිදුර තොරතුරු අවශ්‍ය නම් මධ්‍යම තැන්පතු ක්‍රමයේ මාර්ග නිර්දේශය අනුගමනය කරන්න.)
09. නේවාසික අයදුම්කරුවෙකුද නැත්නම් අනේවාසික අයදුම්කරුවෙකුද යන්න මෙහි සඳහන් කරන්න.
10. ලිපි එවිය යුතු ලිපිනයට අදාළ තැපෑලේ සංඛේතය සඳහන් කරන්න.

**(ඉ) පුද්ගලයන්ගේ පුද්ගලයන්ගේ උපදෙස්**

01. තලයට පිටපත් කළ යුතුය. 01. නිල 02. නිලධාරී 03. සේවාව 04. වගකීම 05. කලාකෘති 06. පොදු ජනතාගේ වෙහෙර. ඉතුරු කළුපත් සඳහා සහතිකයක් සහිතව පිටපත් කළ යුතුය.
02. විண்ணිපතාරීන්ගේ කළමනාකරුවන්ගේ පිටපත් කළ යුතුය.
03. විண்ணිපතාරීන්ගේ පුද්ගලයන්ගේ පිටපත් කළ යුතුය. (මෙහි 01 මුල් පිටපත් කළ යුතුය. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.)
04. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.
05. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.
06. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.
07. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.
08. විண்ணිපතාරීන්ගේ පුද්ගලයන්ගේ පිටපත් කළ යුතුය.
09. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.
10. පුද්ගලයන්ගේ පිටපත් කළ යුතුය.

Authorized  
Signature and  
Stamp of  
Participant

**DECLARATION TO BE SUBMITTED BY A NON-RESIDENT SRI LANKAN TO  
THE  
CENTRAL DEPOSITORY SYSTEMS (PVT) LIMITED (CDS)  
(For Individuals)**

I/We

.....

.....

*(full name)*

Of

.....

.....

*(Address)*

in consideration of the Central Depository Systems (Pvt) Limited (CDS) agreeing to open a Securities Account in my/our name (s), I/we hereby declare as follows :-

I/We being a non resident/non residents having a CDS account classified as “Foreign Individual Account (FI)” hereby agree that I/we shall comply and continue to comply with the applicable Exchange Control Laws & Regulations and other applicable Laws & Regulations in force from time to time pertaining to the said CDS account and undertake to furnish to CDS all details pertaining to transactions carried out in the FI account at the request of the CDS.

.....

(Signature/s of the Applicant/s)

This ..... Day of ..... 200



..... 2017

Mr/Mrs/Ms.....

.....

.....

.....

(Name and address of the Account Holder)

Accountant-Payables  
Capital Trust Securities (Pvt) Ltd  
42, Sir Mohammed MacanMarkarMawatha,  
Colombo 3,

Dear Madam,

**AUTHORITY TO HOLD SALES PROCEEDS OF CDS ACCOUNT DSA-.....**

With reference to the rule 2.7.1 (2) (b) of CSE Stock Broker Rules, I hereby provide the authority to Capital Trust Securities (Pvt) Ltd to hold the credit balances in my above mentioned CDS account on permanent basis, until I give specific written or verbal instructions to prepare the sales proceeds cheque.

Thanking you,

Yours faithfully,

.....

**(Signature)**

.....

**(Name)**

.....

**(NIC No.)**

# Capital **TRUST** Securities (Pvt) Ltd.

A Capital TRUST Group Company

## ONLINE TRADING REGISTRATION FORM

All fields are required for successful processing of your Online Trading Account.  
All information provided in this form should be **EXACTLY** the same as that of your CDS Account.

No:

**Title:** Mr.  Mrs.  Miss  Dr  Other  state if other

**Last Name :**

**Initials :**  .  .  .  .  .

**Names denoted by Initials :**

**Address :**

**Telephone**  **Mobile**

**E-mail**

**Nationality**  **Date of Birth**

**National Identity Card No.**   
*(or Sri Lankan Passport No if N.I.C is not available.)*

**Existing Online Login User ID :**   
*(if Available )*

CDS Account Numbers needed for online Account			
CDS Account No.	Name of Account		Signature
DSA - <input style="width: 60px;" type="text"/> - <input style="width: 20px;" type="text"/> - <input style="width: 20px;" type="text"/>	<input style="width: 100px;" type="text"/>		_____
DSA - <input style="width: 60px;" type="text"/> - <input style="width: 20px;" type="text"/> - <input style="width: 20px;" type="text"/>	<input style="width: 100px;" type="text"/>		_____
DSA - <input style="width: 60px;" type="text"/> - <input style="width: 20px;" type="text"/> - <input style="width: 20px;" type="text"/>	<input style="width: 100px;" type="text"/>		_____
DSA - <input style="width: 60px;" type="text"/> - <input style="width: 20px;" type="text"/> - <input style="width: 20px;" type="text"/>	<input style="width: 100px;" type="text"/>		_____
DSA - <input style="width: 60px;" type="text"/> - <input style="width: 20px;" type="text"/> - <input style="width: 20px;" type="text"/>	<input style="width: 100px;" type="text"/>		_____

(e.g. DSA – 504876876 – VN – 00)

### OFFICIAL USE ONLY

<u>Investment Advisor</u>	<u>Customer Relations Division</u>	<u>Officer In Charge</u>
Code :	Date of receiving application:	Name :
Name :		
Signature :	Date of issuing login details :	Signature :
Date :		Date :

Capital TRUST Securities (Private) Limited,  
No. 42, Sir Mohammed Macan Marker Mawatha,  
Colombo 03

Dear Sirs,

I/We ..... of .....  
..... request you to allow me the use of Capital TRUST Securities (Private) Limited ('Capital Trust')  
Internet Trading Service ('Online Service') and hereby agree to be bound by the terms and conditions hereinafter mentioned governing such service:

1. I/we hereby authorize Capital Trust to accept and act upon all instructions or messages which purport to come from me/us and are received through the Online Trading Service and authenticated in the manner described by Capital Trust under the usage of password issued to me/us by Capital Trust;
2. I/we fully understand that all transactions shall be subject to the rules of the Securities and Exchange Commission, Colombo Stock Exchange and other prevailing laws and regulations of Sri Lanka and in particular to the authority hereinafter granted by the me to Capital Trust;
3. I/we hereby permit and authorize Capital trust, at their absolute discretion and my risk, to sell and transfer any and/or all of the shares or securities in my securities account opened by me and maintained by Capital Trust at the Central Depository System (Private) Limited in order to make good and compensate for any loss or damages incurred or sustained by Capital Trust as a result of my default in making any payments lawfully due to Capital Trust after the settlement date;
4. The above authority of the right to sell shares or securities given to Capital Trust by me, shall be in addition to all the other rights, powers and remedies available to Capital Trust in terms of the laws of Sri Lanka. The authority granted hereby shall in no way affect or exempt me from any liability towards Capital Trust arising out of or consequent to any such default;
5. I/we acknowledge that the market data provided to me are obtained from Colombo Stock Exchange Sources believed to be reliable, are provided solely on a **As It IS** basis and **As Available** basis and that no guarantees are made by Capital Trust as to their accuracy, completeness, timeliness or correct sequencing. I/we agree that Capital Trust shall not be liable to me/us or have any responsibility whatsoever, for: (a) any Losses arising out of or relating to a cause over which Capital Trust do not have direct/indirect control, including the failure of computer software/hardware or electronic or mechanical equipment or communication lines, telephone or network problems, unauthorized access; or (b) any special, indirect, incidental, consequential, punitive or exemplary damages that I/we may incur in connection with my use of the services provided by Capital Trust in terms of this Agreement;
6. I/we hereby agree that Capital Trust shall not be responsible for any delay or failure to comply with any part of these terms and conditions resulting from causes beyond the Capital Trust's control, including but not being restricted to investment decisions made by me, acts of God, fires, explosions, floods, earthquakes, wind storms, national strikes, national riots, national work outs, national boycotts, wars, laws, regulations or acts of any government or from other causes beyond the control of Capital Trust which Capital Trust is unable to prevent;
7. I/we shall not attempt to effect transactions through Online Service unless sufficient funds (for purchases of securities) inclusive of other charges associated with the transaction, are available with me/us. For the purpose of this Agreement "Sufficient Funds" shall mean , in case of a cash deposit, up to 100% of the value of the proposed transactions to be effected by me/us through internet trading or otherwise and in case of the securities portfolio such percentage made available to us by Capital Trust from time to time;
8. If my/our securities account with Capital Trust is a jointly held account, every holder shall be jointly and severally liable for all transactions arising from the use of Online Trading;
9. I/we shall accept Capital Trust's records and statements of all transactions processed through the Online Service through my/our account as conclusive and binding on me / us for all purposes
10. I/we shall not hold Capital Trust responsible for any loss, damage or liability incurred or suffered by me/us as a result of non acceptance of and/or non adherence to any instructions given through the Online Service for any reason whatsoever
11. I/we agree that in the transactions effected by me/us through the Online Service with third parties, I/we shall not hold Capital Trust responsible in any manner whatsoever for any lapses on the part of the transacting parties ( including other brokers) failing to meet their respective obligations.
12. I/we hereby acknowledge that I am/we are permitted to enter into this agreement in terms of the Capital Trust member application signed by me whereby I /we have opened a CDS account/s with Capital Trust and that the terms and conditions contained herein are in addition to the other documents and agreements that I have signed with Capital Trust;
13. I / we agree to use the Online Service provided to me / us solely for the purpose of trading in securities in my / our CDS account and / or the CDS account of our spouses where specific consent of our spouses have been submitted in writing subject to annual renewal of such consent.
14. I / we agree not to use the Online Service provided to me / us for any other purpose other than trading and in any case will not be used for trading securities in the CDS account of other parties unless I / we have obtained an investment manager license from the SEC authorizing my / our involvement in such activities.
15. I/we confirm and agree that Capital Trust shall at any time be entitled to amend, supplement or vary any of these terms and conditions, at its absolute discretion with or without notice to me/us and such amendment , supplement or variation shall be binding on me / us
16. I hereby undertake to give you notice in writing of any changes of particulars given overleaf;
17. This agreement shall be governed by and construed in accordance with the Laws of the Democratic Socialist Republic of Sri Lanka and I/we shall submit to the non-exclusive jurisdiction of the courts of the Democratic Socialist Republic of Sri Lanka.

**I / We have read and understood the foregoing and agree to be bound by the above terms and conditions as contained in this Agreement.**

Name & Signature : .....

Date:

**For Capital Trust:** .....

## **DOCUMENTS REQUIRED TO OPEN A FOREIGN INDIVIDUAL ACCOUNT**

### **Non-Resident Foreigners**

1. A copy of the valid Foreign Passport for Non nationals
2. CDS Form 1
3. CDS Form 1(A-2)
4. Proof of residency (within 03 months active bill) document as per the Rules issued by the Financial Intelligence Unit of Sri Lanka
5. If a Non-national applicant wishes to open an account giving a local correspondence address that person should provide sufficient information regarding the correspondence address (e.g. Valid residential visa)
6. SIA (Securities Investment Account) account details with documentary proof.

### **Note:**

If the account is to be opened using a Power of Attorney (POA) a copy of a valid POA is required

### **Non-Resident Sri Lankans**

In the event a resident Sri Lankan becomes a non-resident that person would have to open a new (Foreign) account. In such an instance the following documents relating to opening a foreign Client account needs to be submitted.

1. A copy of the Sri Lankan valid Passport.
2. CDS Form 1
3. CDS Form 1(A-2)
4. CDS Form 1 (C)
5. Proof of residency (within 03 months active bill) document as per the Rules issued by the Financial Intelligence Unit of Sri Lanka
6. SIA (Securities Investment Account) account details with documentary proof